

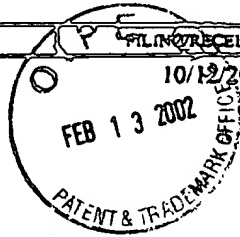


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,038	10/12/2001	Douglas P. Brown	10150 (NCRC-0063-US)

John D. Cowart
NCR Corporation
Law Department IP WHQ-4W
1700 S. Patterson Blvd.
Dayton, OH 45479



RECEIVED
NOV 21 2001
LAW DEPARTMENT

CONFIRMATION NO. 3717
FORMALITIES LETTER
OC00000007103545

Date Mailed: 11/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The signature of the following inventor(s) is missing from the oath or declaration:
Manjula Koppuravuni
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - o drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/20/2002 H00000000 00000049 501673 09977038

01 FC:105 130.00 CH

A copy of this notice **MUST** be returned with the reply.

RECEIVED
DEC 03 2001

Trcp. Pruner, & Hu, P.C.

DOCKET INFORMATION

Base Fee 11-21-01
Due Date 1-21-02
Action Missing Parts
Due
☒ Cover ☐ Fee ☒ Access
☐ Pat. Acc. ☐ Asst. Fee Pg. ☐ Draw. (LF)

Best Available Copy

12-03-2001 10:19AM

FR

09977038 .021302.3

Page 2 of 2

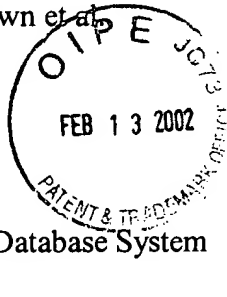
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas P. Brown et al.
 Serial No.: 09/977,038
 Filed: October 12, 2001
 For: Index Selection in a Database System



Group Art Unit: 2171

Examiner:

Atty. Dkt. No.: 10150 (NCRC-0063-US)

COPY OF PAPER
ORIGINALLY FILED

Box Missing Parts
 Commissioner for Patents
 Washington DC 20231

RESPONSE TO "NOTICE TO FILE MISSING PARTS OF
 NONPROVISIONAL APPLICATION"


Dear Sir:

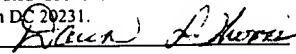
A copy of the "Notice to File Missing Parts of Nonprovisional Application" mailed for this application on November 21, 2001, is attached.

In response to the requirements of the Notice, applicant submits herewith 44 sheets of drawings. Please apply any charges or credits to Deposit Account 50-1673 (10150). A duplicate of this paper is attached.

Respectfully submitted,

Date: 1-23-02


 Dan C. Hu
 Registration No. 40,025
 TROP, PRUNER & HU, P.C.
 8554 Katy Freeway, Suite 100
 Houston, Texas 77024
 (713) 468-8880 [Phone]
 (713) 468-8883 [Fax]

Date of Deposit: 1-23-02
 I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington DC 20231.

 Dawn L. Thomas